

SHELTER AND NON-FOOD ITEMS CLUSTER

IHPF 1st Standard Allocation

Cluster Specific Guidance

1.0 Introduction

This Cluster specific guidance paper should be read in conjunction with OCHA's First 2017 Standard Allocation paper. The guidance is to assist Shelter and NFI partners in the preparation of their projects. It has been reviewed and approved by the Cluster's SAG.

2.0 Timeline

The deadline for proposals submission is at 18:00 on 12th April 2017 on OCHA's online Grant Management System (GMS).

3.0 Cluster Objectives and Strategy

The Objectives and Strategy, are detailed in full in the HRP. Please review before preparing and submitting your project.

<https://www.humanitarianresponse.info/en/operations/iraq/document/2017-iraq-humanitarian-response-plan>.

4.0 Guidance on First Line, Second Line and Full Cluster

Section 11 of the Allocation Paper, recommends First and Second-line only. The Cluster endorses this and the table below should be used to define activities. Full-Cluster activities will not be considered for this allocation.

First Line	Second Line	Full Cluster (not considered)
Tents including tent replacement	Sealing Off Kits for IDPs in temporary situations	Contractor led repair of war damaged buildings for returnees
Emergency Shelter Kits mobile and basic	Unfinished buildings for IDPs	Contractor led unfinished buildings for returnees
NFIs – mobile and basic	Cash/voucher for NFIs or basic shelter upgrades	Upgrade of camps to international standards
	Small scale upgrades of shelters for returnees and stayees by self-employed tradesmen / owner / community	Cash/voucher for repair or upgrade of buildings for returnees / host / 'stayees'
	Upgrading of emergency sites	Cash for Rent for returnees and IDPs
		Training of contractors, communities and authorities in the reconstruction / repair of war damaged buildings
		Infrastructure upgrades to support settlements

5.0 Priorities for Allocation

This guidance is for this allocation only and should not be seen as overall Cluster strategy / guidance for other applications to other donors and future allocations.

- 5.1 The Cluster acknowledges the impact the weather has on Shelter – NFI activities both in the summer and winter. As per the Allocation Paper, disbursements will come in late May / early June when temperatures are already high and when planning and execution of summer activities should have already been completed. Therefore, the funds come too late for activities specifically related to summer – the Cluster shall advocate to other donors to meet this gap. For winter, the Cluster advocates that planning should start as early as possible, but will not prioritise related activities in this allocation.
- 5.2 The following offers guidance on activities / modalities that the Cluster **does not** see as priority for this allocation:
- a. Activities that fall under Full Cluster will not be considered.
 - b. Pre-positioning of BNFI / MNFIs for Mosul is not prioritised. In the event that a partner would like to propose this activity – a detailed explanation and analysis of the gap should be provided coupled with an assessment of why cash cannot be used.
 - c. Long-term procurement plans should be avoided. Partners need to demonstrate that they have an existing pipeline and experience in procurement in the area of proposed operation.
 - d. For the camps serving the immediate outflow from Mosul (defined as East and West by CCCM Cluster) justification for the upgrading of camp infrastructure (roads, tent plots, drainage, electricity etc.) will need to be provided in full detail with a strong argument presented on how this is a priority – (this does not include WASH facilities which falls under the WASH Cluster).
 - e. BNFI (full kits) for camps serving the immediate outflow from Mosul (defined as East and West by CCCM Cluster) will not be considered unless the gap can be clearly identified and agreed with the Cluster in advance.
 - f. Projects that aim to distribute NFIs in non-specific areas should be avoided. Distribution projects should have a needs assessment, market assessment and a beneficiary distribution based on vulnerability and timeframe followed by Post Distribution Monitoring. Specific locations must be provided and if this is based on a Concept of Operation (ConOps) scenario alternatives must be provided.

- 5.3 The following offers guidance on activities / modalities that the Cluster considers as a priority for this allocation:
- a. Returns during the summer months may increase as families seek better shelter. Projects assisting those that have returned and those that remained with fast, low-cost / high-impact, owner / community driven shelter repairs / self-employed tradesmen are encouraged. Cost / beneficiary ratios should be maximised and the value per house limited to an average of \$200.
 - b. Sealing-Off Kits (SOKs) for IDPs represents a good modality for those in Unfinished or Abandoned Buildings (AUBs). However, for hosts / stayees and those who have returned, sealing-off using glass, doors and blockwork offers better durability, livelihood opportunities cost / benefit ratios.
 - c. The use of cash / vouchers for Shelter and NFIs in areas where markets have been established is encouraged. Procuring, storing, transporting and distributing NFIs in an urban / semi-urban area is not encouraged. Projects should be conditional. Unconditional cash projects should be sent to the Cash Working Group.
 - d. Partners proposing to work in areas that are under-served in terms of Shelter and NFI activities and /or hard to reach will be prioritised.
 - e. Upgrades of camp / transit site infrastructure (roads, tent plots, drainage, electricity etc.) for camps serving IDPs from Hawiga / Anbar will be prioritised when supported by an analysis of the need and the cost / benefit.

6.0 Selection/Prioritization criteria for HRP projects

Section 12 of the Allocation Paper lists the criteria to consider.

The Cluster will look for a well justified project that clearly identifies specific needs, discusses the gap and appreciates the intricacies of targeting of beneficiaries. Generic distribution projects with non-specific definition of geographic areas are discouraged.

Section 13 of the Allocation Paper provides guidance on the review process.

7.0 Prioritized Locations

The prioritized areas for the allocation are Ninewa, Anbar, Salah al-Din, Kirkuk and Baghdad.

8.0 Project Duration

The ideal duration of the projects should be no more than 4-months.

9.0 Project Parameters

- 9.1 The minimum recommended budget is \$250,000 and the maximum is \$1,000,000. In the event that the project has a multi-cluster aspect, the Shelter and NFI activities including the appropriate proportion of the overheads and operating costs, should not exceed the upper limit of \$1,000,000.
- 9.2 Additionally, partners should submit it to the Cluster with the largest proportion of activities. Partners should submit in only 1 proposal; multiple locations and activities are to be submitted into the same proposal.
- 9.3 There is no connection between previous allocations and this one in terms of eligibility. Please refer to Table 4 on Page 21 of the IHPF manual for guidance on project caps.
http://reliefweb.int/sites/reliefweb.int/files/resources/iHPF_operational_manual_final_july_2015_2.pdf

10.0 Partnerships

To increase access to hard-to-reach areas (a priority for this allocation) partners are encouraged to work with local partners. Partnerships should be named in the proposal.

11.0 What next for Cluster Partners?

The Cluster will send out updates to this Guidance as and when required / requested. Partners are encouraged to contact the Cluster to discuss their proposals in advance.